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PRELIMINARY AMENDMENT

Applicant: Ronald A. Katz
Serial No.: 08/306,650
Examiner in Patent: S. Woo
Filed: September 14, 1994
For: TELEPHONIC-INTERFACE
LOTTERY SYSTEM
New Document No.: 6646-101NS
(Previously 4646-101NS)
707 Wilshire Blvd., 32nd Floor
Los Angeles, CA 90017
November 1, 1994
Commissioner of Patents
and Trademarks
Washington, D. C. 20231
Size:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

RE-30-12-2-94

IN THE DRAWINGS:
Please amend the drawings as indicated below:

IN THE DRAWINGS:

Insert - is a continuation of Application Serial No. 07/756,956
Filed September 9, 1991, and entitled "Telephonic-Interface
Lottery System", now U.S. Patent No. 5,365,575 which -.

On page 1, at line 3 of the first paragraph, after "This"

IN THE SPECIFICATION:

Application as follows:

Prior to examination please amend the above-identified

size:

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30

In FIGURE 2, replace the designation "C1" with --S1-- and extend the lead line from reference designation "S2" to the area designated by the reference designation "UN." For the Examiner's convenience the above amendments to the drawings are clearly indicated on the attached copy of FIGURE 2. A substitute copy of FIGURE 2 reflecting the amendments as they will be implemented in the formal drawings is also attached. Approval of the above-noted drawing amendments is respectfully requested.

IN THE CLAIMS:

Please cancel claim 1, without prejudice and add the following new claims 24-44, (numbered consecutively from the parent case U.S. Serial No. 07/756,956).

1 24. ~~A method for conducting a telephonic-interface ticket~~
2 control operation for use with a communication facility including
3 remote terminal apparatus for individual callers, wherein said
4 remote terminal apparatus may comprise a conventional telephone
5 instrument including voice communication means, and digital input
6 means in the form of an array of alphabetic numeric buttons for
7 providing identification data, comprising the steps of:
8 assigning a predetermined limit on access to an
9 interactive call processing format;
10 providing an identification number on a ticket, said
11 identification number entered by each individual caller via

B

12 ~~said digital input means to access said interactive call~~
13 ~~processing format until said predetermined limit is reached;~~
14 ~~storage means for storing an extent of use for said~~
Sub 15 ~~identification number entered by each individual caller; and~~
C 16 ~~testing said extent of use against said predetermined~~
17 ~~limit on access to determine if said predetermined limit on~~
18 ~~access is reached.~~

Sub 1 ~~--25. A method for conducting a telephonic-interface ticket~~
D2 2 ~~control operation as defined in claim 24, further comprising the~~
A 3 ~~step of:~~
B2 4 ~~providing DNIS capability to provide digital~~
C1 5 ~~identification data indicating a called number.--~~

1 ~~--26. A method for conducting a telephonic-interface ticket~~
2 ~~control operation as defined in claim 25, wherein said called~~
3 ~~number is indicative of a select one of a plurality of different~~
4 ~~operating formats.--~~

1 ~~--27. A method for conducting a telephonic-interface ticket~~
2 ~~control operation as defined in claim 24, further comprising:~~
3 ~~testing said identification number with a check digit~~
4 ~~test.--~~

Sub 1 ~~--28. A method for conducting a telephonic-interface ticket~~
C 2 2 ~~control operation as defined in claim 24, further comprising the~~

Sub
C2

3 step of:

4 testing said identification number based on
5 entitlement.--1 --29. A method for conducting a telephonic-interface ticket
2 control operation as defined in claim 24, further comprising the
3 step of:4 concealing at least a portion of said identification
5 number.--1 B2
2 C2
3 --30. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:4 recording the date and time at which each call
5 occurs.--1 B2
2 D3
3 --31. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

4 providing a bar code indicia on said ticket.--

1 --32. A method for conducting a telephonic-interface ticket
2 control operation as defined in claim 24, further comprising the
3 step of:4 providing additional numerical indicia on said
5 ticket.--

sub 1
D3 2
3
4
5
--33. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

recording additional personal identification data
provided by the caller.--

1
B J 2
3
4
--34. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

recording said caller's credit card number.--

1
2
3
4
5
--35. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

recording at least two separate types of caller
provided identification data.--

1
2
3
4
5
--36. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

processing said identification number online.--

1
2
3
4
5
--37. A method for conducting a telephonic-interface ticket
control operation as defined in claim 24, further comprising the
step of:

providing visual indicia on said ticket illustrative of

5 a specific promotion from a plurality of promotions.--

1 ~~--38. A telephonic-interface ticket control system for use~~
2 with a communication facility including remote terminal apparatus
3 for callers to call, wherein said remote terminal apparatus may
4 comprise a conventional telephone instrument including voice
5 communication means, and digital input means in the form of an
6 array of alphabetic numeric buttons for providing identification
7 data, said telephonic-interface ticket control system comprising:

8 interface means coupled to said communication facility
9 to interface said remote terminal apparatus for voice and
10 digital communication with said individual callers;

11 voice generator means coupled through said interface
12 means for providing vocal instructions to an individual
13 caller to enter identification data;

14 memory means coupled to said interface means for
15 storing said identification data and an extent of use by
16 said individual callers; and

17 qualification means coupled to said interface means for
18 limiting access to said ticket control system based on said
19 extent of use by said individual callers.--

1 ~~--39. A telephonic-interface ticket control system~~
2 according to claim 38, wherein DNIS identifies a called number
3 from a plurality of called numbers.--

1 *Hub* --40. A telephonic-interface ticket control system
2 *El* according to claim 39, wherein said plurality of called numbers
3 are indicative of a plurality of different operating formats.--

sub
D5 1 --41. A telephonic-interface ticket control system
2 according to claim 38, wherein said qualification means utilizes
3 a look-up table to determine if said limited access is reached.--

B J
Con
1 --42. A telephonic-interface ticket control system
2 according to claim 38, wherein said qualification means limits
3 access to a one time use only.--

sub
D6 1 --43. A telephonic-interface ticket control system
2 according to claim 38, further comprising:
3 means for generating sequence data for each individual
4 caller.--

1 --44. A telephonic-interface ticket control system according
2 to claim 38, further comprising:
Sub 3 means for recording data with respect to the date and
C4 4 time at which each call occurs.--

REMARKS

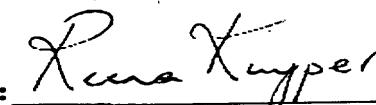
By this preliminary amendment, the foregoing amendments to the specification, drawings and claims are respectfully requested. The amendments to the specification provide a cross-

reference with the parent application. The amendments to the drawings are consistent with the specification at page 20, line 6. In addition, claim 1 is cancelled and claims 24-44 (numbered consecutively from the claims as originally filed in the parent application, U.S. Serial No. 07,756,956). New claims 24-44 are directed to a method for conducting a telephonic-interface ticket control system operation and to a telephonic-interface ticket control system with additional combinations of inventive features fully supported by the specification as originally filed.

An information disclosure statement is submitted contemporaneously herewith (by mail) for the Examiner's consideration. The documents cited in the information disclosure statement are deemed clearly distinct from the claims in the present application as noted by the distinctions presented therein.

Favorable consideration and allowance of claims 24-44 is respectfully requested.

Respectfully submitted,
NILSSON, WURST & GREEN

By: 
Reena Kuyper
Registration No. 33,830

(213) 243-8000
Our Docket No. 6646-101N5

6646-101N5.PRB

NILSSON, WURST,
& GREEN-ATTYS.
DKT: 1616-101C3 6646-101NS
SHT. 2 OF 6

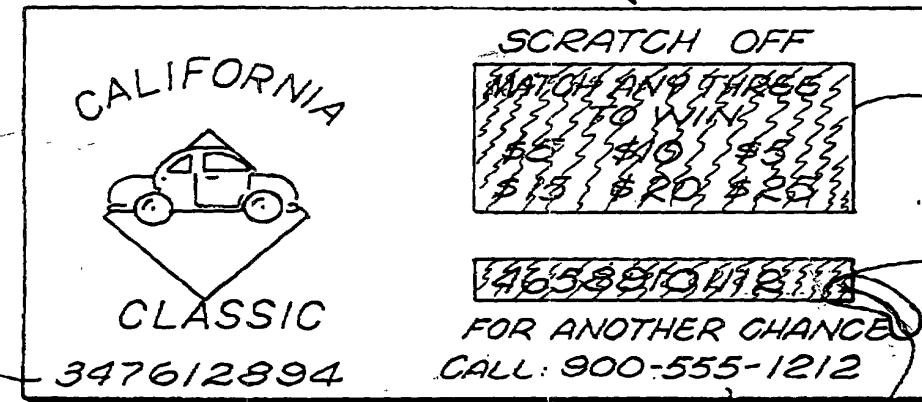


FIG. 2

FIG. 2a

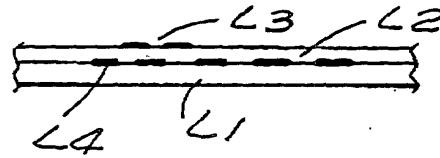
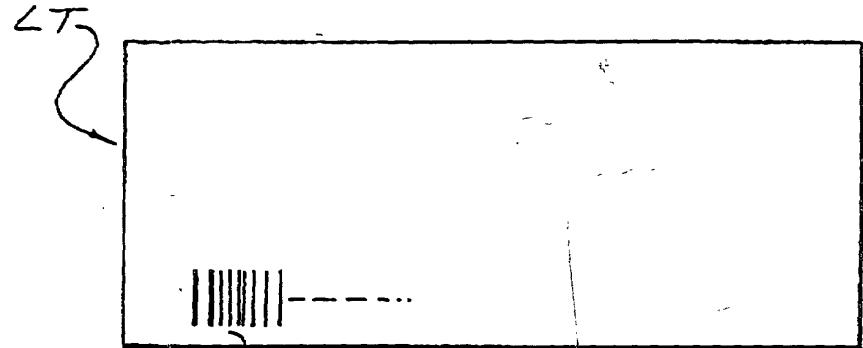


FIG. 5



| | | | | |
|--------------------------------|--|-------------------------|-------------------------|-----------------------|
| C ₁ 53 | 58 | 62 | 64 | 66 |
| CALLERS TELEPHONE NUMBER | DATA: AGE, DRIVER'S LICENSE #, CREDIT CARD # OR SOCIAL SECURITY # | DATE AND TIME | SEQUENCE NUMBER | LOTTERY/UN NUMBER |
| 627-2222 | 21, C308050 | AUG. 18, 1:30pm | 4951 | 465789101112 |
| | | PRIZE AMOUNT TYPE | ASSIGNED DESIGNATION | ACKNOWLEDGE DIGITS |
| | | | 4951684 | 6173 |
| | | 65 | 67 | 69 |

FIG. 7

NILSSON, WURST,
& GREEN-ATTYS
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SHT. 2 OF 6

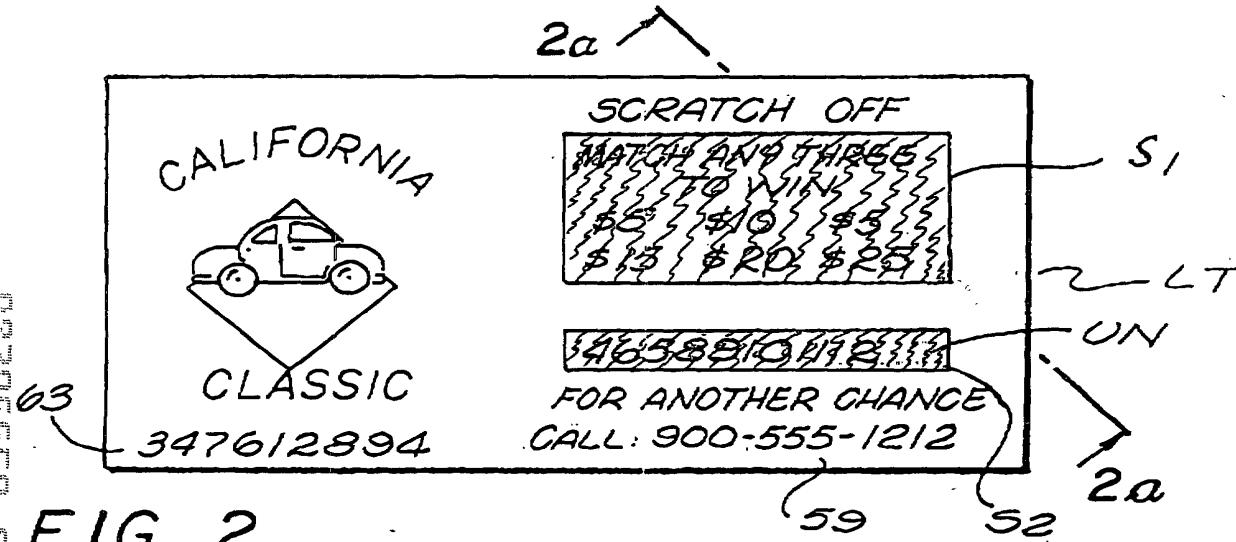


FIG. 2

FIG. 2a

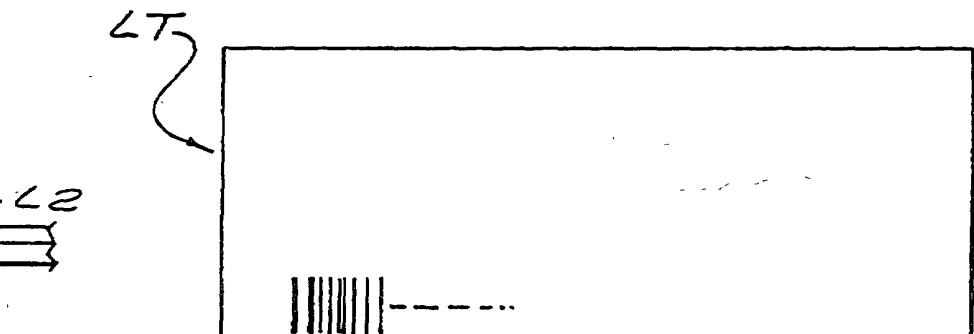
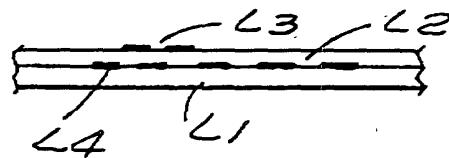


FIG. 5

| C1 | 53 | 58 | 62 | 64 | 66 |
|--------------------------------|--|-----------------------|--------------------|----------------------|----|
| CALLERS TELEPHONE NUMBER | DATA: AGE, DRIVER'S LICENSE #, CREDIT CARD # OR SOCIAL SECURITY # | DATE AND TIME | SEQUENCE NUMBER | LOTTERY/UN NUMBER | |
| 627-2222 | 21, C308050 | AUG. 18, 1:30pm | 4951 | 465789101112 | |
| | | | | | |
| PRIZE AMOUNT TYPE | ASSIGNED DESIGNATION | ACKNOWLEDGE DIGITS | | | |
| | 4951684 | 6173 | | | |
| | | | 65 | 67 | 69 |

FIG. 7

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NILSSON, WURST & GREEN
707 Wilshire Boulevard, 32nd Floor
Los Angeles, CA 90017

2008
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REENA KUYPER, REG. NO. 33,830

DATE:

November 1, 1994

SUBJECT:

08/306,650

OUR DOCKET NUMBER:

6646-101N5

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PTO PARK 2

PATENTS**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: RONALD A. KATZ)
Serial No.: 08/306,650) Examiner in Parent:
Filed: September 14, 1994) S. Woo
For: TELEPHONIC-INTERFACE) Art Unit: 2608
LOTTERY SYSTEM)
Docket No.: 6646-101N5)
(previously 4646-101N5))

CERTIFICATE OF FACSIMILE TRANSMISSION

707 Wilshire Blvd., 32nd Floor
Los Angeles, CA 90017
November 1, 1994

Commissioner of Patents
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Washington, D. C. 20231

I hereby certify that the following papers are being
transmitted by facsimile to the U.S. Patent and Trademark Office
on the date shown below:

1. Transmittal Letter (2 pages, in triplicate)
2. Supplemental Preliminary Amendment (8 pages)
3. Drawing Sheet 2 of 6 (indicating the change)
4. Drawing Sheet 2 of 6 (substitute sheet)

November 1, 1994
Date

Reena Kuyper
Reena Kuyper, Reg. No. 33,830

6646-101N5.fax

1

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PTO PARK 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEPATENTS

Applicant: Ronald A. Katz)
Serial No.: 08/306,650)
Filed: September 14, 1994)
For: TELEPHONIC-INTERFACE)
LOTTERY SYSTEM)
New Docket No.: 6646-101N5)
(previously 4646-101N5))

TRANSMITTAL LETTER

November 1, 1994
707 Wilshire Blvd. - 32nd Floor
Los Angeles, CA 90017

Commissioner of Patents
and Trademarks
Washington, D. C. 20231

Sir:

Transmitted herewith is a supplemental preliminary
amendment in the above-identified application.

The fee for the claims has been calculated as shown:

| | Claims Remaining After Amendment | Highest Number Previously Paid For | Extra Present | Rate | | Additional Fee |
|---|---|---|------------------|-----------------|-----------------|-------------------|
| | | | | Small Entity | Large Entity | |
| Total | 21 | - 20 = | 1 | x 10 | x 22 = | \$ 22 |
| Indep. | 2 | - 3 = | 0 | x 36 | x 76 = | \$ 0 |
| 1st presentation of multiple dep. claim + 120 + 240 = | | | | | | \$ |
| Total additional fee | | | | | | \$ 22 |

Please charge the fee in the amount of \$22 and any additional fees in connection with this communication, including any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment, to Deposit Account 14-1105. This transmittal letter is provided in triplicate for that purpose.

Respectfully submitted,
NILSSON, WURST & GREEN

By: 
Reena Kuyper
Registration No. 33,830

(213) 243-8000
Our Docket No. 6646-101N5
6646101N5.v1